

PX 667

Message

From: Brad Garlinghouse [redacted@ripple.com]
on behalf of Brad Garlinghouse <redacted@ripple.com> [redacted@ripple.com]
Sent: 5/6/2017 3:46:26 PM
To: Antoinette O'Gorman [Antoinette O'Gorman <redacted@ripple.com>]
Subject: Re: code of conduct

okay - my XRP buy ended up only being a [redacted] purchase (it started to go up quickly so I didn't buy the other [redacted]) - so no approval was needed in retrospect. But will create a new email trail for the approvals for the sales.

Thanks - hope you are enjoying your EU travels!!

Brad

On Sat, May 6, 2017 at 1:37 PM, Antoinette O'Gorman <[redacted]@ripple.com> wrote:

Thanks, Brad. Your recent XRP sales are approved retroactively. I can check your wallets to see when you sold and how much you sold so no need to send me that info. If you plan on buying/selling [redacted] worth of XRP going forward, just give me/[redacted] a heads up.

I'll write up a Policy Variance for these recent April/May XRP sales and for your last XRP buy (unless you also want to separately obtain [redacted] retroactive approval - if so, just copy me on your e-mail requesting her approval for my files. Thanks).

You don't need Chris L's (supervisor) approval.

AO'G

Antoinette O'Gorman
Chief Compliance Officer | Ripple
[redacted]@ripple.com | ripple.com



On Sat, May 6, 2017 at 3:18 AM, Brad Garlinghouse [redacted]@ripple.com> wrote:

I am realizing that I too could get more specific approval from our earlier email exchanges to BUY XRP - to now get specific approval(s) to sell.

Like Patrick, I now have a large tax obligation upcoming and have sold XRP (over [redacted] in the closing days of April - and during the start of May).

I believe I sent you my wallets - but I'm not sure if I was explicit about approvals to sell.

Please let me know what you need from me.

Best,
Brad

----- Forwarded message -----

From: Brad Garlinghouse <[REDACTED]@ripple.com>
Date: Fri, May 5, 2017 at 6:14 PM
Subject: Re: code of conduct
To: Patrick Griffin <[REDACTED]@ripple.com>
Cc: Antoinette O'Gorman <[REDACTED]@ripple.com>

Aog is the arbiter of these things. Adding her here.

As she is on vacation, if you don't hear from her in a few days you and I can discuss the best path forward.

Sent from my iPhone

On May 5, 2017, at 6:08 PM, Patrick Griffin <[REDACTED]@ripple.com> wrote:

Hi Brad,

I'm trying to get some liquidity to cover my state tax bill on the XRP grant and will be need to be in a range above the [REDACTED] limit in code of conduct (likely closer to [REDACTED]). Can you please confirm that I have the all-clear?

Patrick

~
Patrick Griffin
SVP Business Development | Ripple
[REDACTED] ripple.com